

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
04558.039001

In Re Application Of: Masahik HIROSE, et al.

Serial No.
09/462,109

Filing Date
12/30/1999

Examiner
ROCHE, Leanna M.

Group Art Unit
1771

Invention: **COMPOSITE REVERSE OSMOSIS MEMBRANE AND METHOD FOR PRODUCING THE SAME**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

Signature

Dated: 1/13/03

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I certify that this document is being deposited on
January 13, 2003 with the U.S. Postal Service as first
class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.

Brenda C. McFadden

Signature of Person Mailing Correspondence

Brenda C. McFadden

Typed or Printed Name of Person Mailing Correspondence



1771

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#9

APPLICANT(S): Masahiko HIROSE, *et al.* ART UNIT: 1771
SERIAL NO.: 09/462,109 EXAMINER: Leanna M. Roche
FILED: December 30, 1999
TITLE: COMPOSITE REVERSE OSMOSIS MEMBRANE AND METHOD
FOR PRODUCING THE SAME

Assistant Commissioner for Patents
Washington, DC 20231

RECEIVED
JAN 22 2003
TECHNOLOGY CENTER 1700

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to their duty of good faith and candor as set forth in 37 CFR 1.56(a), Applicants request that the reference(s) cited on attached form PTO-1449 (copies of which are enclosed) be considered by the Examiner in connection with the search of the prior art required by 37 CFR 1.104. This Statement is being filed under one of the following provisions of § 1.97:

[] This Statement is being filed under 37 CFR 1.97(b) prior to the latest of: (1) three months from the filing date of this Application; (2) three months after entry of the national stage in the case of an international application; (3) the mailing date of a first Office Action on the merits; or (4) before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

[X] This Statement is being filed under 37 CFR 1.97(c) after the period specified in § 1.97(b) but prior to the earlier of: (1) a final Office Action under § 1.113; (2) a Notice of Allowance under § 1.113; or (3) an action that otherwise closes prosecution in the application. This Statement is accompanied by either:

- [] the fee set forth in § 1.17(p); or
- [X] the statement specified in § 1.97(e).

[] This Statement is being filed under 37 CFR 1.97(d) after the period specified in § 1.97(c) but prior to or with payment of the issue fee. This Statement is accompanied by:

- (1) the statement specified in § 1.97(e); and

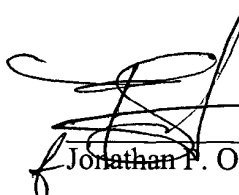
(2) the fee set forth in § 1.17(p).

Applicants believe no petition is necessary; however, if this belief is incorrect, please consider this paper as such.

Applicants believe there is no fee due for the filing of this paper. If, however, Applicants are in error regarding the fee, the Commissioner is hereby authorized to charge Deposit Account 50-0591, Reference No. 04558.039001 for any fees and/or credits during the entire pendency of this application.

Respectfully submitted,

Dated: 1/13/03

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Washington, D.C. 20231



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